

# Deep reinforcement learning and simulation as a path toward precision medicine

DSSI Workshop 2018

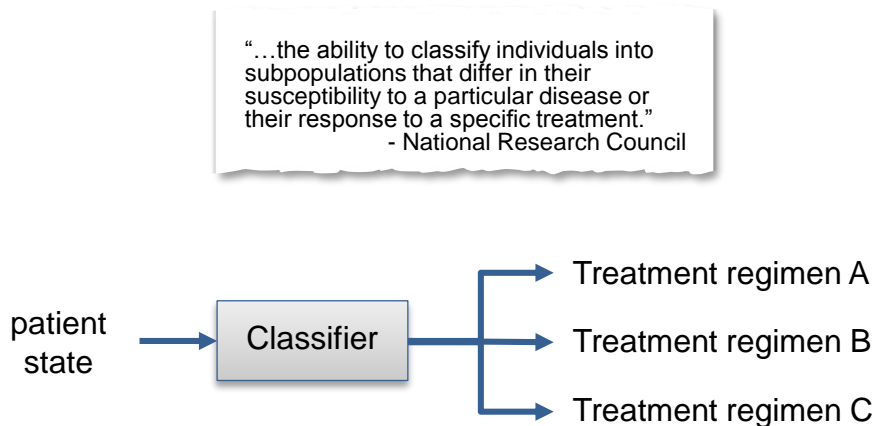
Brenden Petersen, Jiachen Yang, Will Grathwohl,  
Chase Cockrell, Claudio Santiago, Thomas Desautels,  
Gary An, Dan Faissol



# Precision medicine as a control problem

## Traditional precision medicine

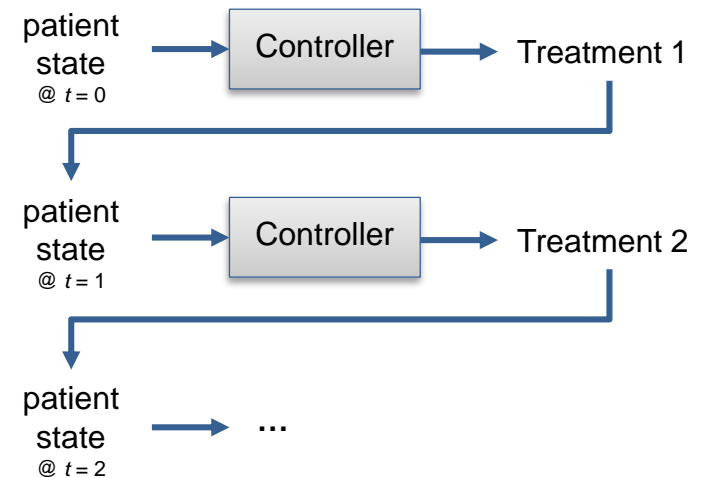
*Classify then treat*



- Viewed as a classification task
- Therapies are static and non-adaptive

## Proposed vision


*Dynamic, feedback control*



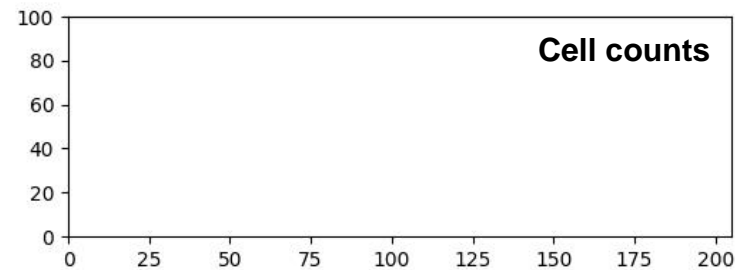
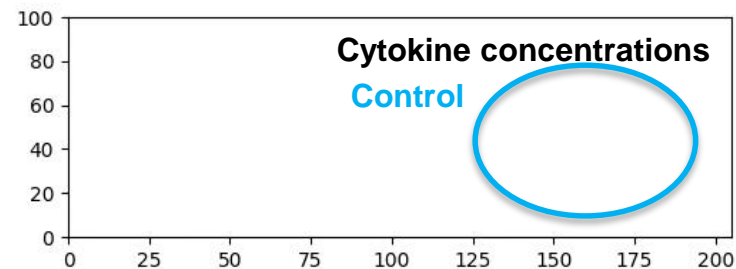
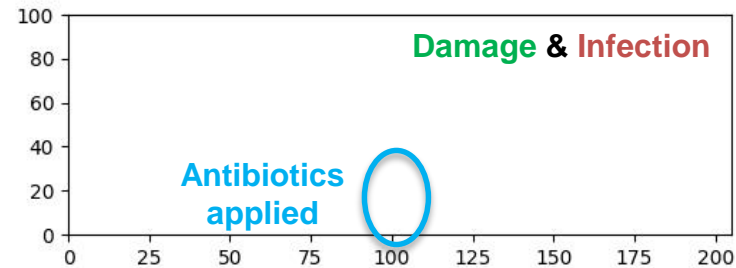
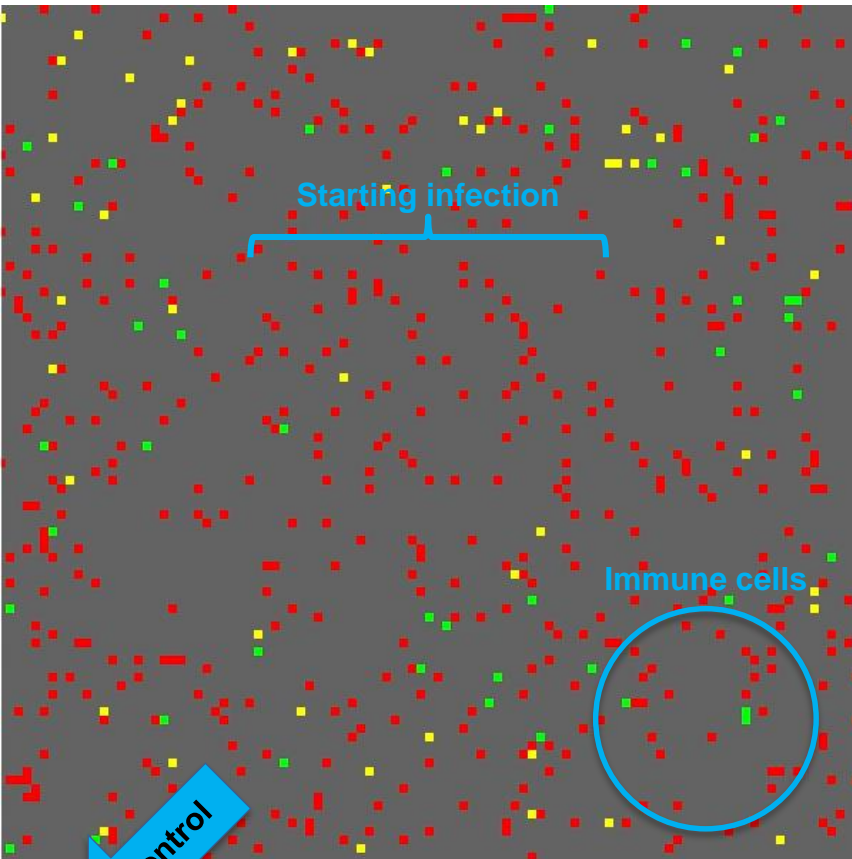
- Viewed as an optimal control task
- Therapies are dynamic and adaptive
  - Dependent upon patient trajectory

# The need for simulation

- Many control approaches use existing data to retrospectively learn control policies
- Simulation enables virtual experimentation: going beyond what has been tried
- Recent advances in optimal control have enabled learning controllers for complex, high-dimensional simulations

	Learning controllers using...	
	Clinical Data 	Biological Simulation
<b>Scope</b> of interventions	Limited to what's already been tried	Able to explore new interventions and/or combinations
<b>Interpretability</b> of interventions	Limited by statistical power of existing data	Limited only by computation
<b>Dimensionality</b> of interventions	Low-dimensional, discrete (e.g. 1 – 2 drugs, 3 doses)	High-dimensional, continuous
<b>Dynamics</b> of interventions	Typically static	Dynamic, adaptive

# Sepsis agent-based simulation – Demo



Start

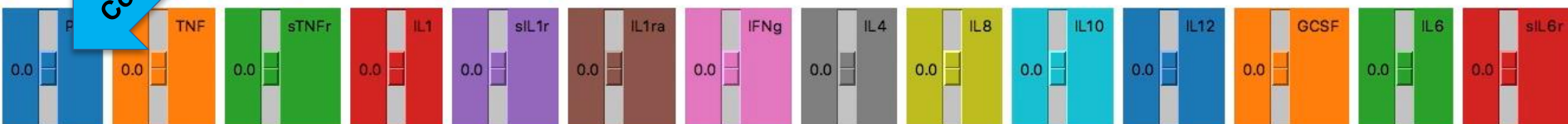
Stop

Step

Reset

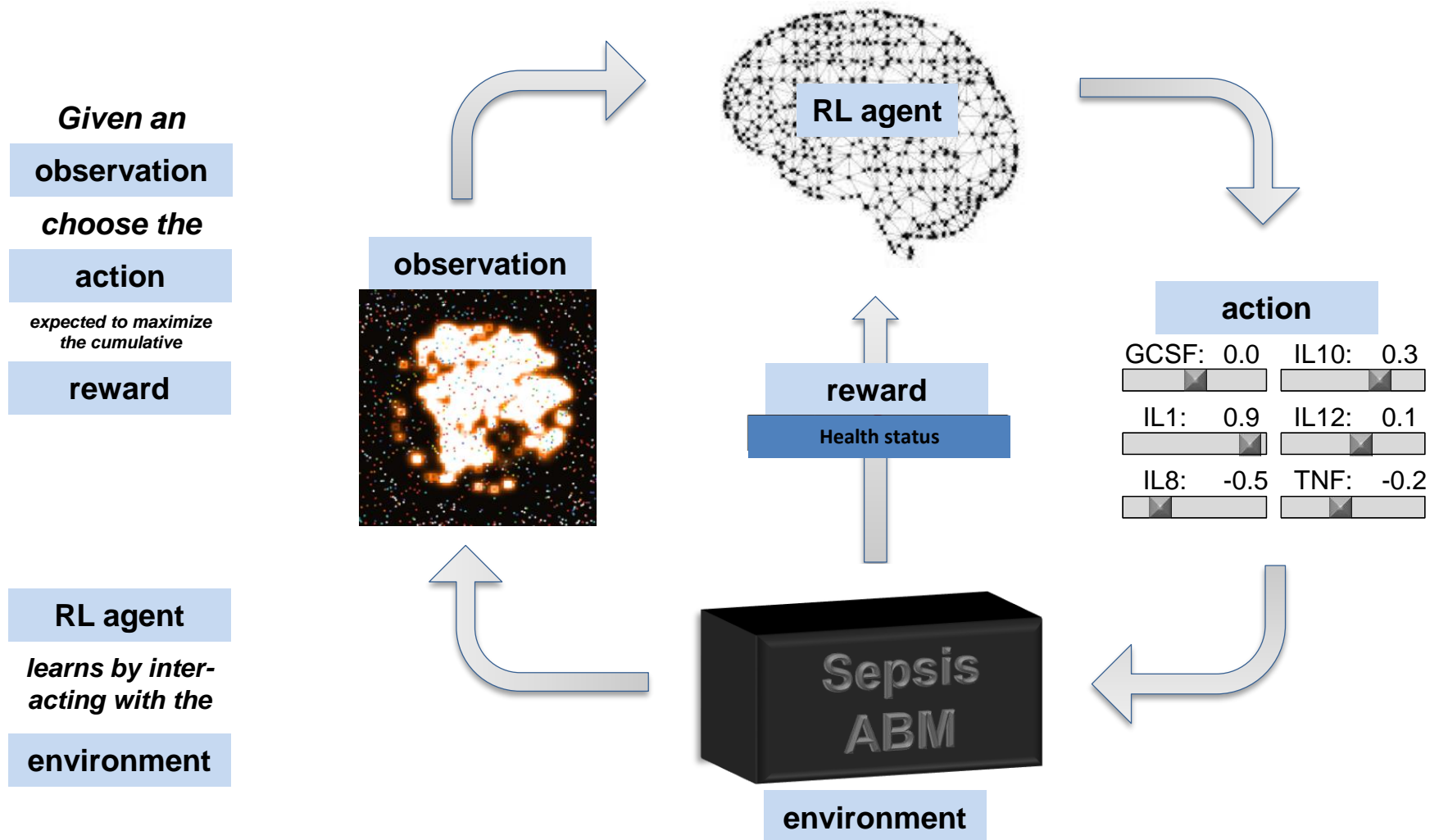
Reset Controls

oxy

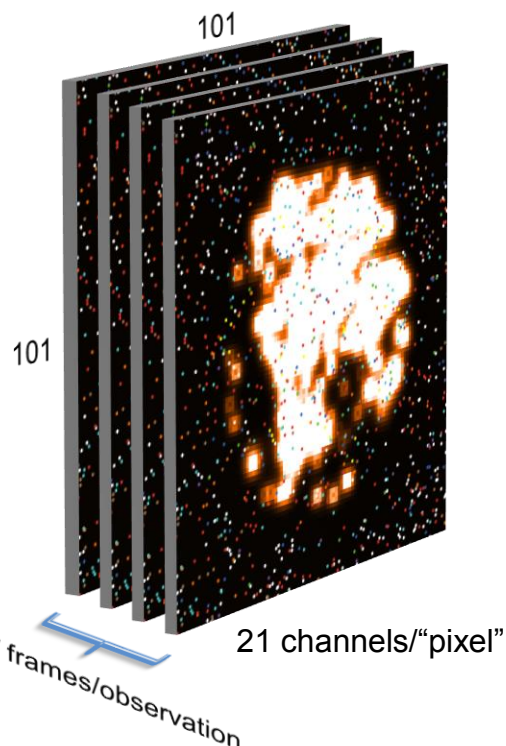




# Reinforcement learning (RL)



# Problem Formulation: Observation Space



## Observation Space

### large, spatial

Cytokine level + cell counts  
at each grid point

Size:  $\mathbb{R}^{101 \times 101 \times 21 \times N}$

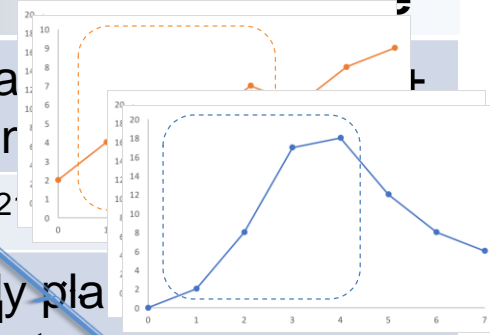
Clinically unrealistic with  
today's technology

### small, aggregate

Aggregate  
cell count

Size:  $\mathbb{R}^2$

Clinically plausible  
blood tests



# Problem Formulation: Action Space

GCSF: 0.0



IL1: 0.9



IL8: -0.5



IL10: 0.3



IL12: 0.1



TNF: -0.2



⋮

## Action Space

### large, continuous

Differentially control all cytokines at once

Size:  $[-1, 1]^{14}$

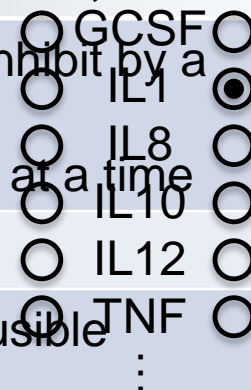
Clinically plausible with multi-channel infusion pump

### small, discrete

Augment or inhibit by a fixed amount; One cytokine at a time

Size: 29

Clinically plausible



# Problem Formulation: Reward Signal

- The simulation naturally provides only sparse, binary rewards: life/death

$$r_{\text{outcome}} = \lambda_+[\text{heal}] - \lambda_-[\text{die}]$$

- To aid learning, we added two terms to the reward signal
  1. Potential-based reward shaping term
    - Helps guide the RL agent toward “good” states without altering the optimal policy

$$r_{\phi} = \lambda_{\phi}(\text{damage}(s) - \text{damage}(s'))$$

2. A penalty for taking actions
  - Regularizer; promotes conservative actions

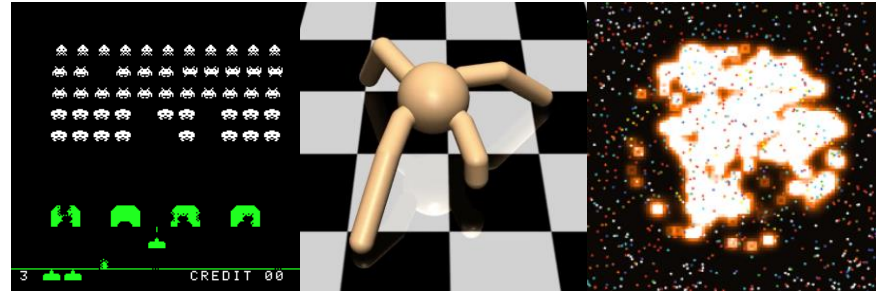
$$r_a = -\lambda_a \|a\|_1$$

- Final reward signal:  $r(s, a, s') = r_{\text{outcome}} + r_{\phi} + r_a$



# Unique challenges of the sepsis environment

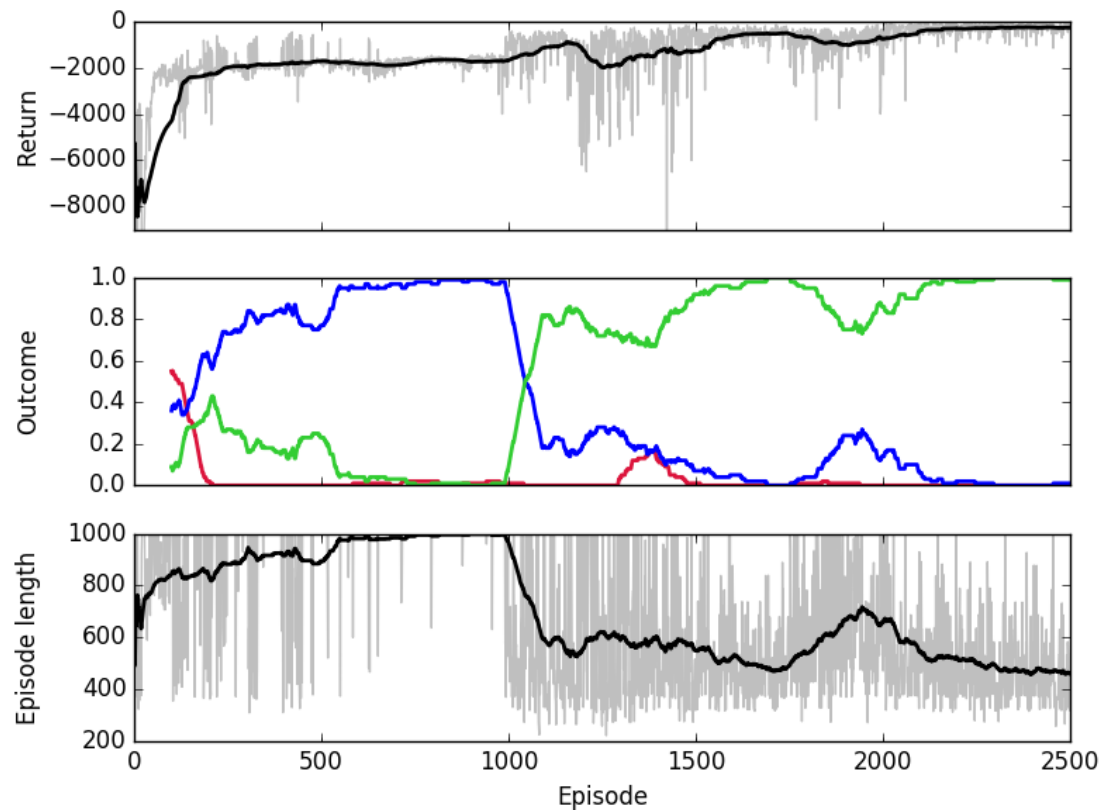
Failed to solve using human experience, genetic algorithms, and classify → control approaches



Challenge	Atari 2600	MuJoCo	Sepsis
High-dimensional state	✓	✓	✓
High-dimensional actions		✓	✓
Sparse rewards	sometimes		✓
Long time horizons			✓
Computationally expensive			✓
Unsolvable by humans			✓
<b>Stochastic</b>	None	None	<b>High</b>
Each episode has different dynamics			✓

# Training the DRL agent

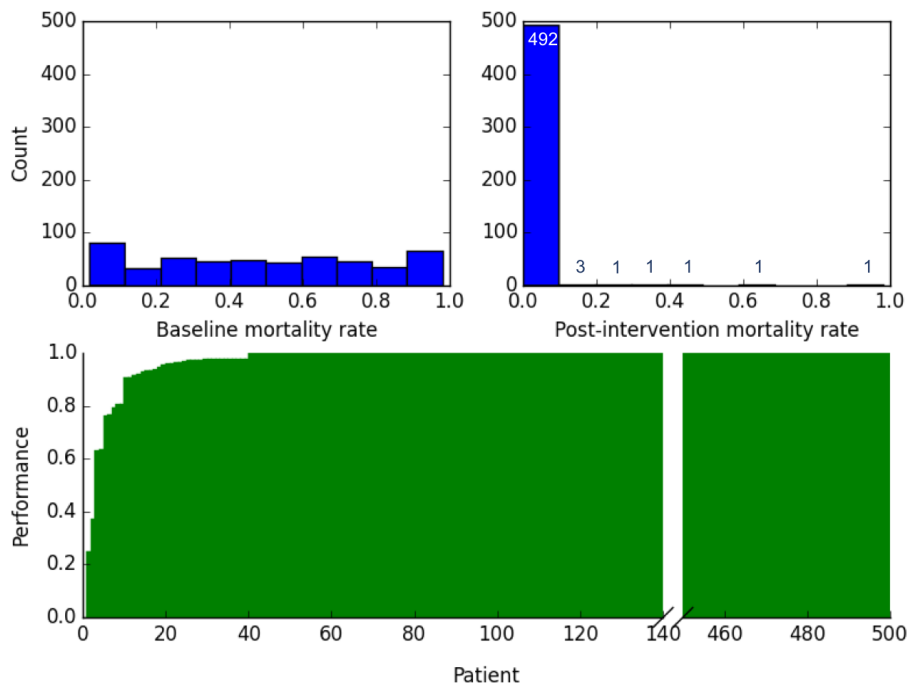
- Environment is “solved” by 2,500 episodes
- Distinct “phases” of learning



# Evaluating the learned policy

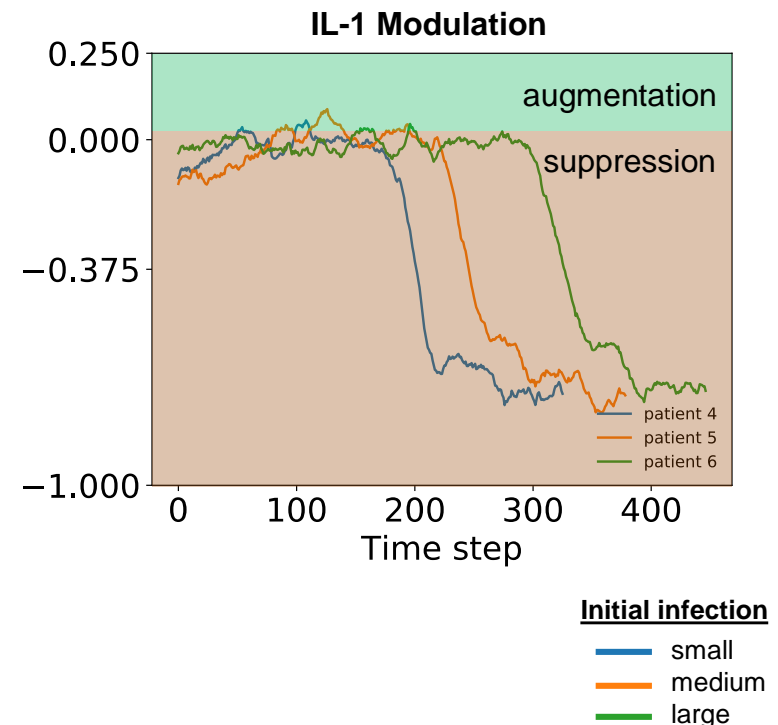
- Mortality rate under learned policy

- Trained patient: 46%  $\rightarrow$  0%
- Across 500 patients: 49%  $\rightarrow$  0.8%



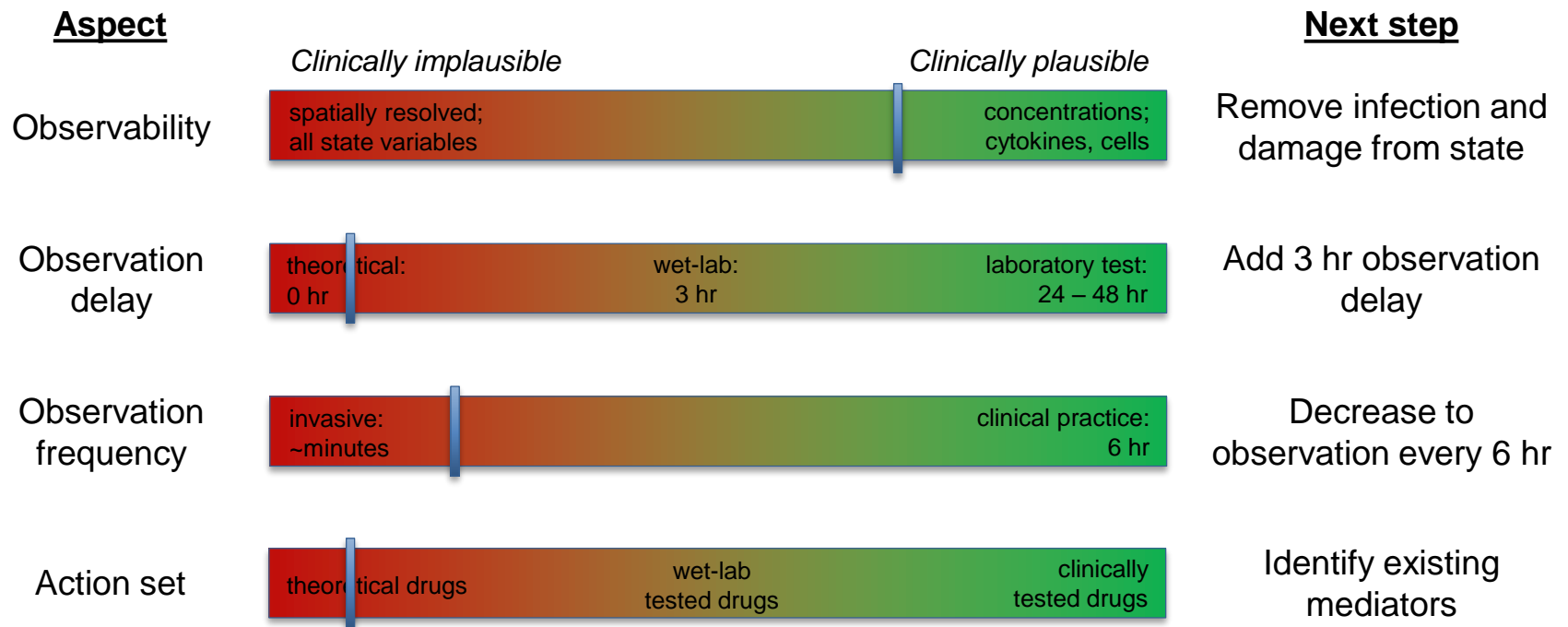
- Clinical insight

- IL-1 (pro-inflammatory) is unregulated early and suppressed late
- Suppression comes later for patients with larger initial infections

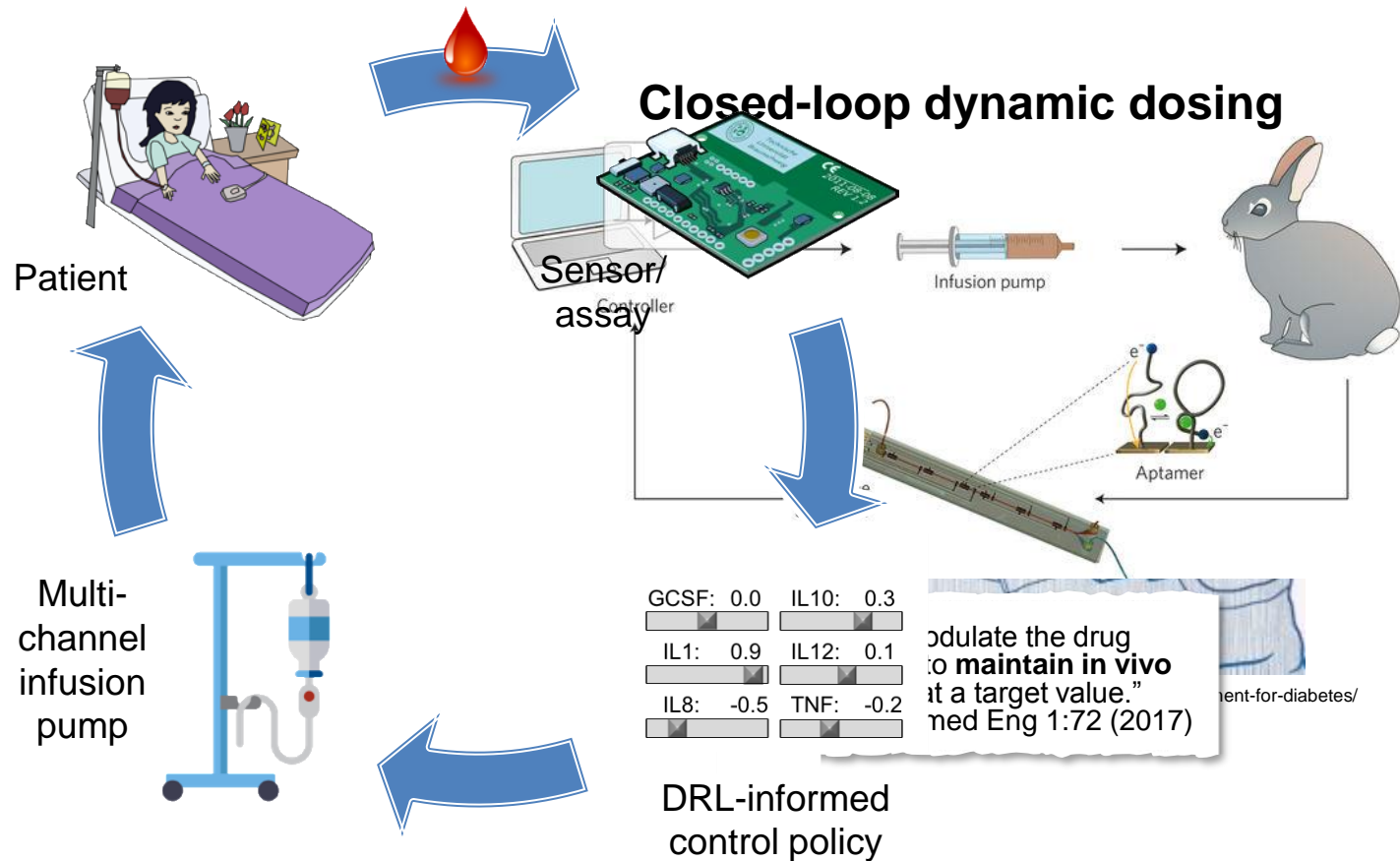


# Next steps: Improving clinical plausibility

- Tradeoff between *controllability* and *clinical relevance*



# Long-term vision: Closed-loop control system



<https://www.mediware.com/home-care/blog/new-legislation-help-home-infusion-patients/>

<https://openclipart.org/>

---

**Thank you!**

